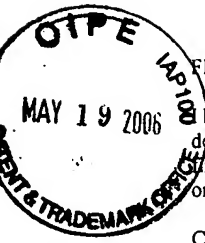


STF



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to:

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

5/17/06  
Date  
*Buchanan Ingersoll PC*  
Buchanan Ingersoll PC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	: <b>PATENT APPLICATION</b>
	:
Richard J. Schaeffer et al.	: <b>PRINTER CONTROL AND DOCUMENT</b>
	: <b>MANAGEMENT SYSTEM</b>
Serial No.: 10/725,260	:
	:
Filed: December 1, 2003	: Art Unit: 2622

**APPLICANTS' REPLY TO USPTO's RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT, AND RENEWED REQUEST FOR CORRECTED FILING RECEIPT**

Pittsburgh, Pennsylvania 15219  
May 17, 2006

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

This is in response to the USPTO's Response to Request for Corrected Filing Receipt, dated July 20, 2004, and is a Renewed Request for a Corrected Filing Receipt in the above-identified application.

The above-identified application is a continuation of application Serial No. 09/566,243, filed May 5, 2000, which claims domestic priority to provisional application Serial No.

60/190,856, filed March 20, 2000. The inventors listed on the Declaration for Patent Application for parent application Serial No. 09/566,243 were Richard J. Schaeffer and Richard F. Parker. This same Declaration for Patent Application was filed with the above-identified continuation application. In fact, the Utility Patent Application Transmittal (copy attached as Ex. A) identifies the Declaration as a copy from a prior application, and Box 18 identifies this prior application as Serial No. 09/566,243. While the Transmittal (Ex. A) indicates that the Declaration consists of 3 pages, it appears that the last page of the Declaration for Patent Application, containing the name and signature of joint inventor Richard F. Parker, may have been inadvertently omitted from the documents submitted with the above-identified continuation application. A copy of the Declaration for Patent Application identifying joint inventors Richard J. Schaeffer and Richard F. Parker is attached hereto as Ex. B.

The filing receipt for the above-identified application, dated February 27, 2004 (Ex. C), incorrectly lists only Richard J. Schaeffer as the sole inventor for the above-identified application. On April 14, 2004 and June 30, 2004, Applicants submitted a request for corrected filing receipt to add inventor Richard F. Parker, and enclosed a copy of the complete Declaration for Patent Application filed in parent application Serial No. 09/566,243, of which the above-identified application is a continuation. Copies of these documents are attached hereto (Ex. D - April 14, 2004; Ex. E - June 30, 2004).

On July 20, 2004, Applicants received the Response to Request for Corrected Filing Receipt (Ex. F), indicating that a Petition to Correct Inventorship under 37 CFR § 1.48 was needed to add Richard F. Parker's name to the above-identified application. Applicants' respectfully disagree.

Applicants do not believe it is not necessary or proper to file a Petition to Correct Inventorship in accordance with 37 CFR § 1.48. None of the circumstances identified in §§ 1.48(a)-(c) apply to the present situation. The inventive entity was not set forth in error (§ 1.48(a)), inventors are not being deleted due to amendment or cancellation of claims (§ 1.48(b)), and inventors are not being added for claims to previously unclaimed subject matter (§ 1.48(c)). All that happened is that Applicants filed a copy of the Declaration submitted in the parent application, and may have inadvertently omitted the last sheet of the Declaration. However, Applicants clearly identified that the Declaration was a copy from a prior application, and clearly identified the present application as a continuation of prior application Serial No. 09/566,243 (*see* Ex. A).

Section 1.63(d)(2) governs the situation when a continuation application is being filed by fewer inventors than named in the parent application. Specifically, § 1.63(d)(2) states:

The copy of the executed oath or declaration submitted under this paragraph for a continuation or divisional application **must** be accompanied by a statement requesting the deletion of the name or names of the person or persons who are not inventors in the continuation or divisional application.

37 CFR § 1.63(d)(2) (*emphasis added*).

The PTO rules are clear that in order for a continuation application to be filed by fewer inventors than named in the parent application, an applicant **must** submit a statement requesting such deletion of inventors. Since Applicants did not submit such a statement with the above-identified application, both Richard J. Schaeffer and Richard F. Parker, by rule, are considered inventors of the present application, and both of their names must therefore appear on the filing receipt for the above-identified application.

It is respectfully requested, in view of the above statements, that a corrected filing receipt be issued for the above-identified application listing the names of both Richard J. Schaeffer and

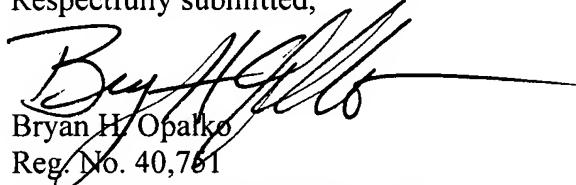
Richard F. Parker as joint inventors. Specifically, amendment is requested adding the name "Richard F. Parker, McMurray, PA" after the name "Richard J. Schaeffer, Bethel Park, PA".

Early notification to that effect is respectfully requested.

Should any issues remain, the Examiner is invited to contact the undersigned by phone to expedite handling of this matter.

Dated: *MAY 17, 2006*

Respectfully submitted,



Bryan H. Opalko

Reg. No. 40,781

BUCHANAN INGERSOLL PC

One Oxford Centre, 20th Floor

Pittsburgh, PA 15219

ph: 412/562-1893

fx: 412/562-1041

e-mail: opalkobh@bipc.com

Attorneys for Applicant(s)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	000327
First Inventor	Richard J. Schaeffer, et al.
Title	PRINTER CONTROL AND DOCUMENT MANAGEMENT SYSTEM
Express Mail Label No.	EV 109383225 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 33]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Sheets 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/566,243

Prior application information:

Examiner T. NguyenArt Unit: 2143

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.



## CORRESPONDENCE ADDRESS

☒ Customer Number: 23464 OR ☐ Correspondence address below

Name	Michael G. Panian				
Address	One Oxford Centre				
	301 Grant Street, 20th Floor				
City	Pittsburgh	State	PA	Zip Code	15219
Country	USA	Telephone	412-562-3743	Fax	562-1041

Name (Print/Type)	Michael G. Panian	Registration No. (Attorney/Agent)	32,623
Signature	<i>Michael G. Panian</i>	Date	12-01-03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXHIBIT

tabbies

A

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	Attorney Docket Number	990792	
	First Named Inventor	Schaeffer, et al.	
	<b>COMPLETE IF KNOWN</b>		
	Application Number	/	
	Filing Date		
	Group Art Unit		
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**PRINTER CONTROL AND DOCUMENT MANAGEMENT SYSTEM**

the specification of which  
☒ is attached hereto  
OR  
☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXHIBIT

B

Please type a plus sign (+) inside this box → ☐

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/01 (12-97)

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number  OR ☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Lynn J. Alstadt	29,362	John E. Grosselin	38,478
George P. Baier	26,717	Bryan H. Opalko	40,751
Dennis M. Carleton	40,938	Michael G. Panian	32,623
Michael L. Dever	32,216	Carla J. Vrsansky	36,958

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label  OR ☒ Correspondence address below

Name	Michael G. Panian				
Address	Buchanan Ingersoll				
Address	One Oxford Centre, 20th Floor				
City	Pittsburgh	State	PA	ZIP	15219
Country	USA	Telephone	412/562-3743	Fax	412/281-4192

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Richard J. Schaeffer		Schaeffer	
Inventor's Signature	Date		
Bethel Park	PA	U.S.A.	USA
Residence: City	State	Country	Citizenship
Post Office Address 2731 Bethel Crest Drive			
Post Office Address			
City	State	ZIP	Country
Bethel Park	PA	15102	USA

☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → +

PTO/SB/02A (3-97)  
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+

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 3 of 3

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any]) Richard F.				Family Name or Surname Parker			
Inventor's Signature <i>Richard F. Parker</i>				Date Apr 20, 2000			
Residence: City McMurray	State PA	Country USA			Citizenship USA		
Post Office Address 215 East Edgewood							
Post Office Address							
City McMurray	State PA	ZIP 15317	Country USA				
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City	State	Country			Citizenship		
Post Office Address							
Post Office Address							
City	State	ZIP	Country				
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City	State	Country			Citizenship		
Post Office Address							
Post Office Address							
City	State	ZIP	Country				
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City	State	Country			Citizenship		
Post Office Address							
Post Office Address							
City	State	ZIP	Country				

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 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/725,260	12/01/2003	2622	484	000327 036672	6	31	3

CONFIRMATION NO. 5564

23464  
 BUCHANAN INGERSOLL, P.C.  
 ONE OXFORD CENTRE, 301 GRANT STREET  
 20TH FLOOR  
 PITTSBURGH, PA 15219

## FILING RECEIPT



\*OC000000011987982\*

Date Mailed: 02/27/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard J. Schaeffer, Bethel Park, PA;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/566,243 05/05/2000  
 which claims benefit of 60/190,856 03/20/2000

## Foreign Applications

If Required, Foreign Filing License Granted: 02/26/2004

Projected Publication Date: 06/03/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Printer control and document management system



**Docketed on Computer**  
**Angie Beyerl**

## Preliminary Class

358

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : PATENT APPLICATION  
RICHARD J. SCHAEFFER ET AL. : PRINTER CONTROL AND DOCUMENT  
Serial No. 10/725,260 : MANAGEMENT SYSTEM  
:  
Filed December 1, 2003 :

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**LETTER**

Pittsburgh, Pennsylvania 15219  
April 14, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

***Application Processing Division Customer Correction Branch***

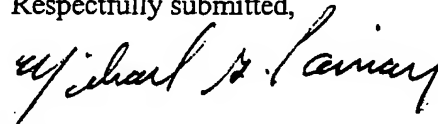
Sir:

We are in receipt of the official filing receipt for the above-identified patent application.

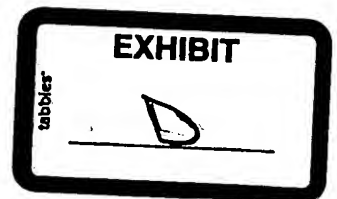
In reviewing the official filing receipt we note in the Applicant(s) section that the name of one of the inventors has been omitted. After "Richard J. Schaeffer, Bethel Park, PA", the name --Richard F. Parker, McMurray, PA-- should be inserted. Attached is a copy of the Declaration for Utility or Design Patent Application filed with the above-identified patent application which lists both inventors.

It is respectfully requested that a corrected official filing receipt be issued and forwarded to us as soon as possible to the address listed below. If you have any questions regarding this request, please direct your call to our Legal Assistant, Angie Beyerl, at 412-562-1035.

Respectfully submitted,



Michael G. Panian  
Registration No. 32,623  
BUCHANAN INGERSOLL, P.C.  
20th Floor, 301 Grant Street  
Pittsburgh, Pennsylvania 15219  
(412) 562-3743



Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)  
Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>	Attorney Docket Number	990792		
	First Named Inventor	Schaeffer, et al.		
	<b>COMPLETE IF KNOWN</b>			
	Application Number	/		
	Filing Date			
	Group Art Unit			
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**PRINTER CONTROL AND DOCUMENT MANAGEMENT SYSTEM**

the specification of which  
☒ is attached hereto  
OR  
☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International  
Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Lynn J. Alstadt	29,362	John E. Grosselin	38,478
George P. Baier	26,717	Bryan H. Opalko	40,751
Dennis M. Carleton	40,938	Michael G. Panian	32,623
Michael L. Dever	32,216	Carla J. Vrsansky	36,958

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

Name	Michael G. Panian				
Address	Buchanan Ingersoll				
Address	One Oxford Centre, 20th Floor				
City	Pittsburgh	State	PA	ZIP	15219
Country	USA	Telephone	412/562-3743	Fax	412/281-4192

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Richard J. Schaeffer		Schaeffer	
Inventor's Signature	Date		04/29/00
Residence: City	Bethel Park	State	PA
		Country	U.S.A.
Post Office Address	2731 Bethel Crest Drive		
Post Office Address			
City	Bethel Park	State	PA
		ZIP	15102
		Country	USA

☒ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → +

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 3 of 3

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Richard F.				Parker			
Inventor's Signature	<i>Richard F. Parker</i>			Date		Apr 20, 2000	
Residence: City	McMurray	State	PA	Country	USA	Citizenship	USA
Post Office Address	215 East Edgewood						
Post Office Address							
City	McMurray	State	PA	ZIP	15317	Country	USA
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/725,260	12/01/2003	2622	484	000327 036672	6	31	3

CONFIRMATION NO. 5564

23464  
 BUCHANAN INGERSOLL, P.C.  
 ONE OXFORD CENTRE, 301 GRANT STREET  
 20TH FLOOR  
 PITTSBURGH, PA 15219

## FILING RECEIPT



\*OC000000011987982\*

Date Mailed: 02/27/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard J. Schaeffer, Bethel Park, PA;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/566,243 05/05/2000  
 which claims benefit of 60/190,856 03/20/2000

## Foreign Applications

If Required, Foreign Filing License Granted: 02/26/2004

Projected Publication Date: 06/03/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Printer control and document management system

**Docketed on Computer**  
**Angie Beyerl**

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**Buchanan Ingersoll PC**  
ATTORNEYS

**Vicki J. Cremonese**  
Legal Assistant  
412 562 8912  
cremonesevj@bipc.com

One Oxford Centre  
301 Grant Street, 20th Floor  
Pittsburgh, PA 15219-1410

T 412 562 8800  
F 412 562 1041  
www.buchananingersoll.com

June 30, 2004

**VIA FACSIMILE: 703-746-9195**

Office of Initial Patent Examination's Filing Receipt Corrections  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**Re: United States patent application of  
Richard J. Schaeffer et al. for PRINTER CONTROL  
AND DOCUMENT MANAGEMENT SYSTEM  
Serial No. 10/725,260, Filed December 1, 2003**

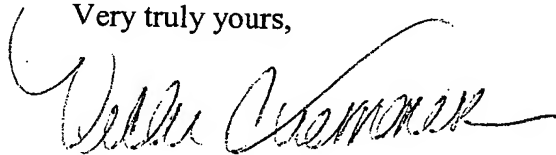
Dear Sir:

On April 14, 2004, we requested correction of the above-identified filing receipt to add inventor "Richard F. Parker" whose name was omitted on the original receipt. To date, we have not received the corrected filing receipt.

Enclosed herewith is a copy of our letter dated April 14, 2004, a copy of the Declaration for Utility or Design Patent Application which lists Richard J. Schaeffer and Richard F. Parker as inventors of the above-identified application, and a copy of the original official filing receipt which lists only Richard J. Schaeffer as the inventor.

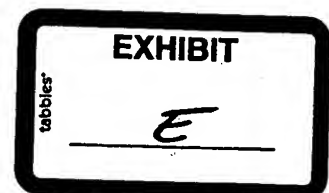
It is respectfully requested that a corrected official filing receipt be issued and forwarded to us as soon as possible. If you have any questions regarding this matter, please do not hesitate to contact me.

Very truly yours,



Vicki Cremonese  
Legal Assistant

vjc  
Enclosure



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : PATENT APPLICATION  
RICHARD J. SCHAEFFER ET AL. : PRINTER CONTROL AND DOCUMENT  
Serial No. 10/725,260 : MANAGEMENT SYSTEM  
:  
Filed December 1, 2003 :

---

**LETTER**

Pittsburgh, Pennsylvania 15219  
April 14, 2004

Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

*Application Processing Division Customer Correction Branch*

Sir:

We are in receipt of the official filing receipt for the above-identified patent application.

In reviewing the official filing receipt we note in the Applicant(s) section that the name of one of the inventors has been omitted. After "Richard J. Schaeffer, Bethel Park, PA", the name --Richard F. Parker, McMurray, PA-- should be inserted. Attached is a copy of the Declaration for Utility or Design Patent Application filed with the above-identified patent application which lists both inventors.

It is respectfully requested that a corrected official filing receipt be issued and forwarded to us as soon as possible to the address listed below. If you have any questions regarding this request, please direct your call to our Legal Assistant, Angie Beyerl, at 412-562-1035.

Respectfully submitted,



Michael G. Panian  
Registration No. 32,623  
BUCHANAN INGERSOLL, P.C.  
20th Floor, 301 Grant Street  
Pittsburgh, Pennsylvania 15219  
(412) 562-3743

Please type a plus sign (+) inside this box → ☐

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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>	<b>Attorney Docket Number</b>	990792
	<b>First Named Inventor</b>	Schaeffer, et al.
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	/
	<b>Filing Date</b>	
	<b>Group Art Unit</b>	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Examiner Name</b>

As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**PRINTER CONTROL AND DOCUMENT MANAGEMENT SYSTEM**

the specification of which  
☒ is attached hereto  
OR  
☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International  
Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]  
Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Lynn J. Alstadt	29,362	John E. Grosselin	38,478
George P. Baier	26,717	Bryan H. Opalko	40,751
Dennis M. Carleton	40,938	Michael G. Panian	32,623
Michael L. Dever	32,216	Carla J. Vrsansky	36,958

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label

OR ☒ Correspondence address below

Name	Michael G. Panian				
Address	Buchanan Ingersoll				
Address	One Oxford Centre, 20th Floor				
City	Pittsburgh	State	PA	ZIP	15219
Country	USA	Telephone	412/562-3743	Fax	412/281-4192

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Richard J. Schaeffer		Schaeffer	
Inventor's Signature	Date		04/19/00
Residence: City	Bethel Park	State	PA
		Country	U.S.A.
		Citizenship	USA
Post Office Address	2731 Bethel Crest Drive		
Post Office Address			
City	Bethel Park	State	PA
		ZIP	15102
		Country	USA

☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → ☐

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## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Richard F.		Parker					
Inventor's Signature	<i>Richard F. Parker</i>					Date	
Residence: City	McMurray	State	PA	Country	USA	Citizenship	USA
Post Office Address	215 East Edgewood						
Post Office Address							
City	McMurray	State	PA	ZIP	15317	Country	USA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/725,260	12/01/2003	2622	484	000327 030672	6	31	3

CONFIRMATION NO. 5564

23464  
 BUCHANAN INGERSOLL, P.C.  
 ONE OXFORD CENTRE, 301 GRANT STREET  
 20TH FLOOR  
 PITTSBURGH, PA 15219

## FILING RECEIPT



\*OC000000011987982\*

Date Mailed: 02/27/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Richard J. Schaeffer, Bethel Park, PA;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/566,243 05/05/2000  
 which claims benefit of 60/190,856 03/20/2000

## Foreign Applications

If Required, Foreign Filing License Granted: 02/26/2004

Projected Publication Date: 06/03/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Printer control and document management system

**Docketed on Computer**  
**Angie Beyerl**

Preliminary Class

358

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

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 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/725,260	12/01/2003	Richard J. Schaeffer	030672

CONFIRMATION NO. 5564

23464

BUCHANAN INGERSOLL, P.C.  
 ONE OXFORD CENTRE, 301 GRANT STREET  
 20TH FLOOR  
 PITTSBURGH, PA 15219



\*OC000000013272329\*

Date Mailed: 07/20/2004

## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, and Inventors

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16 (e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- ☐ The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- ☐ The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- ☐ Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- ☐ The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters.

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EXHIBIT

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spaces combined).

- ☐ The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
- ☒ A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
- ☐ Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
- ☐ The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
- ☐ The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
- ☐ The docket number allows a maximum of 25 characters.
- ☐ The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- ☐ The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.



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